

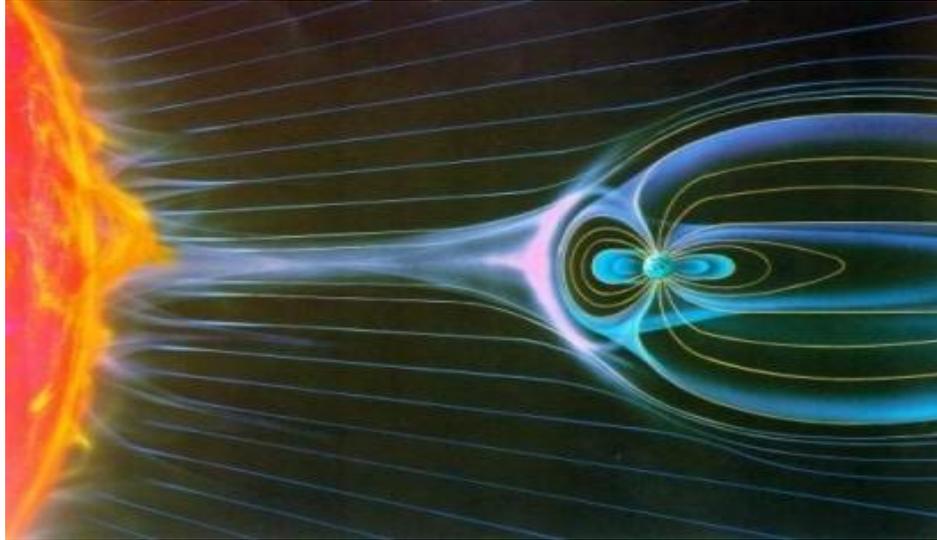
Kinetic instabilities and fluctuations in collisionless plasmas

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In collaboration with: R. Schlickeiser, P. H. Yoon, and M. Lazar

Future perspectives of space science and space exploration: Berlin 1-4 June 2016

Solar wind at 1 AU



Parameter	Corona	≈ 0.1 AU	1 AU
$n, \text{ cm}^{-3}$	10^9	10^7	10
$T, \text{ K}$	3×10^6	10^6	10^5
$B_0, \text{ G}$	10	0.1	5×10^{-5}
$\rho_e, \text{ cm}$	5	3×10^2	1.8×10^5
$\lambda_{D,e}, \text{ cm}$	0.37	2.1	6.9×10^2
$\nu_{ee}, \text{ s}^{-1}$	2.6	0.15	4.8×10^{-6}
$\lambda_{ee}, \text{ AU}$	1.3×10^{-6}	2×10^{-5}	0.6
$\omega_{p,e}, \text{ s}^{-1}$	2×10^9	2×10^8	2×10^5
$\Omega_e, \text{ s}^{-1}$	2×10^8	2×10^6	10^3

Solar wind temperature anisotropy at 1 AU

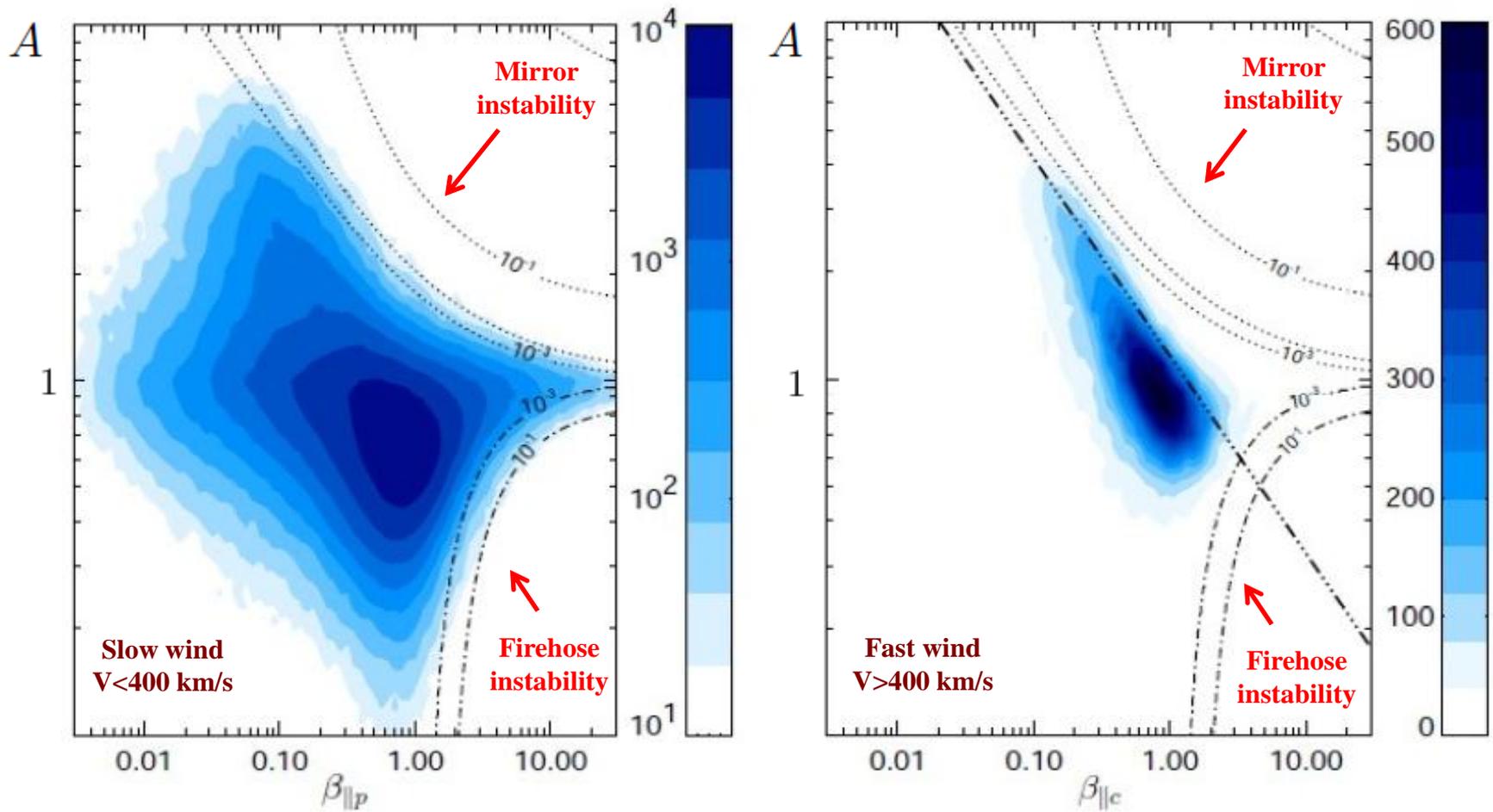
Temperature anisotropy of plasma component “a”:

$$A_a = \frac{T_{\perp,a}}{T_{\parallel,a}}$$

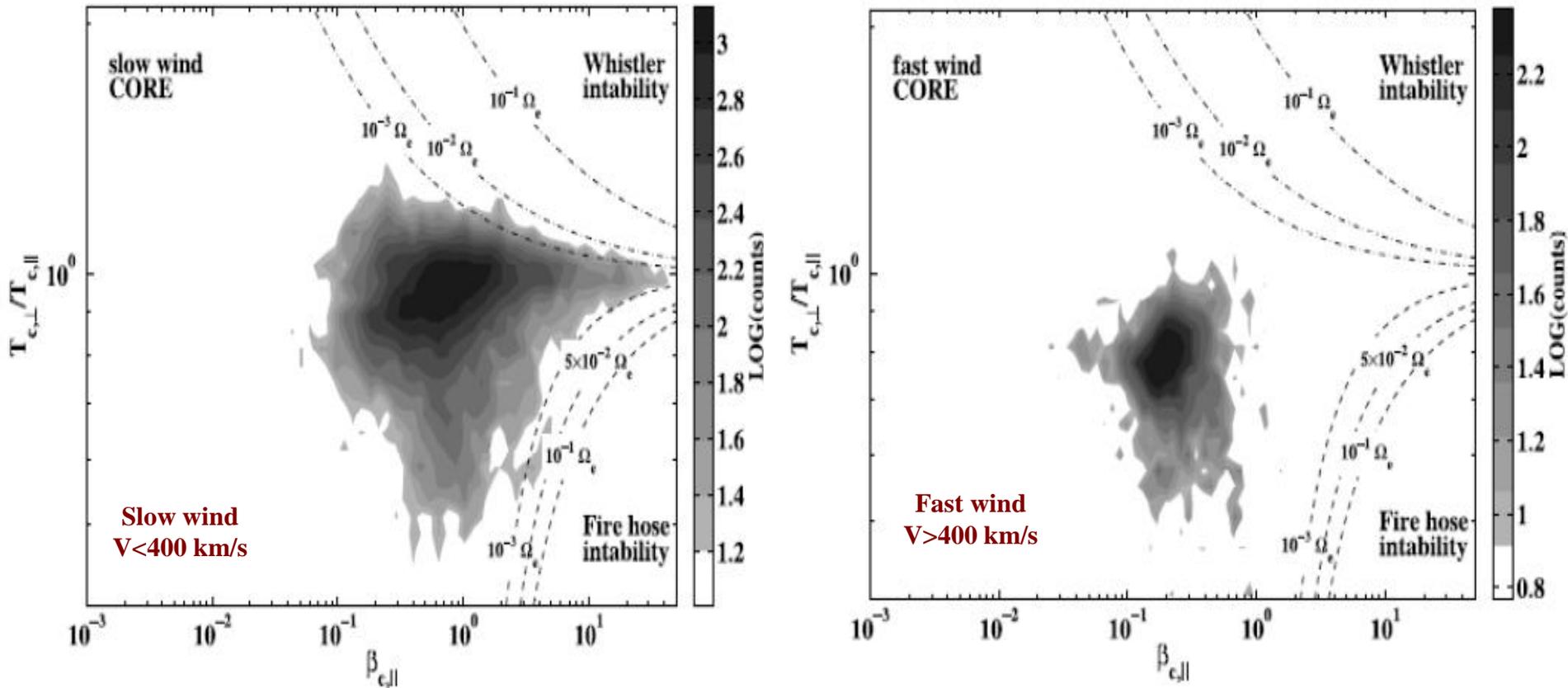
Parallel plasma beta of plasma component “a”:

$$\beta_{\parallel,a} = \frac{p_a}{p_M} = \frac{n_a T_{\parallel,a}}{B^2/4\pi}$$

Observed temperature anisotropy of solar wind protons at 1 AU



Observed temperature anisotropy of solar wind electrons at 1 AU



Bi-Maxwellian velocity distribution function

$$f_a(v_{\perp}, v_z) = \frac{1}{\pi^{3/2} u_{\parallel,a} u_{\perp,a}^2} \exp\left(-\frac{v_{\perp}^2}{u_{\perp,a}^2}\right) \exp\left(-\frac{v_z^2}{u_{\parallel,a}^2}\right)$$

$$u_{\perp,a} = \sqrt{2T_{\perp,a}/m_a}$$

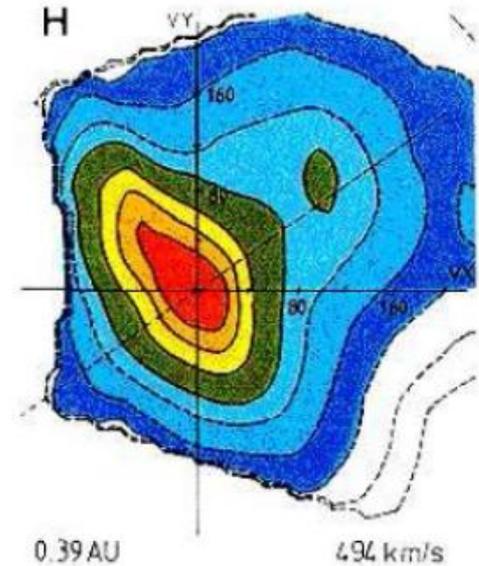
$$u_{\parallel,a} = \sqrt{2T_{\parallel,a}/m_a}$$

Counterstreaming bi-Maxwellian velocity distribution function

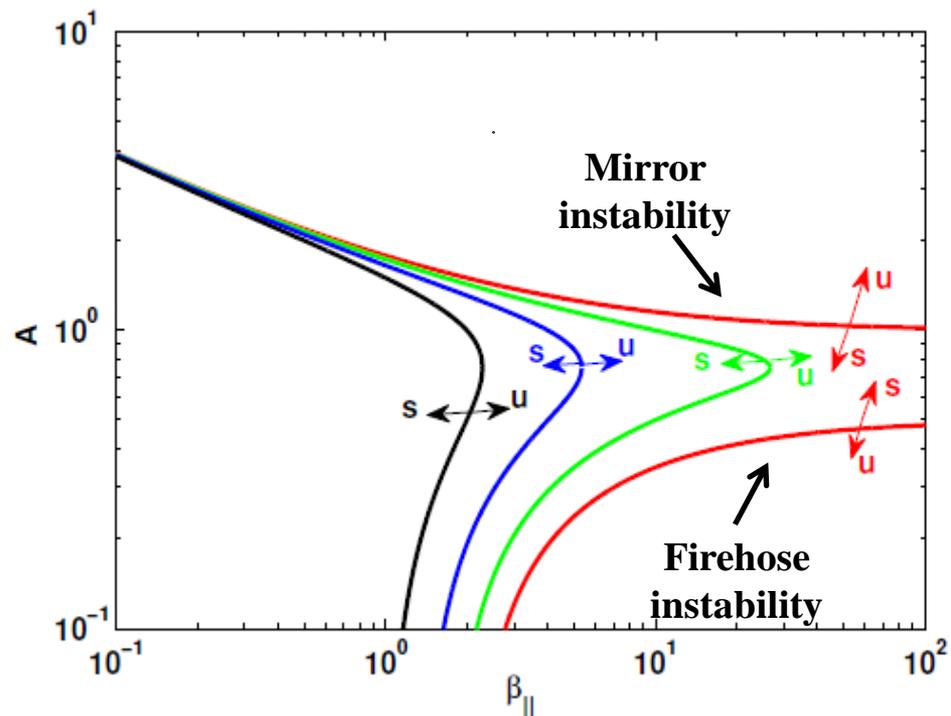
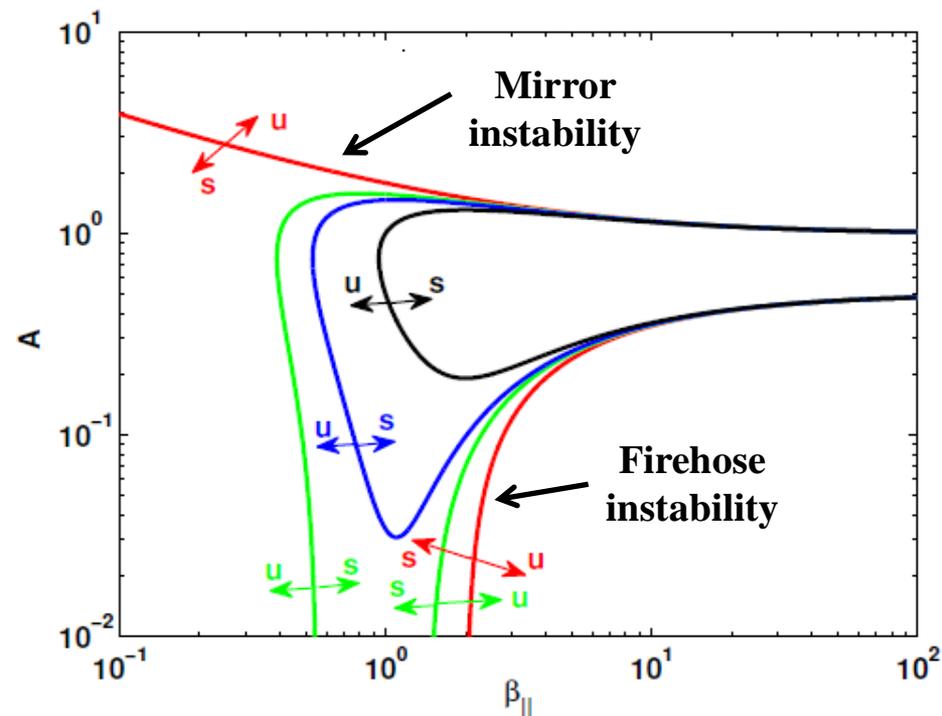
$$F_a(v_{\perp}, v_z) = F_{a,\perp}(v_{\perp}) \sum_s \epsilon_{a,s} F_{a,z}(v_z)$$

$$F_{a,\perp}(v_{\perp}) = \frac{1}{\pi u_{\perp,a,s}^2} \exp\left(-\frac{v_{\perp}^2}{u_{\perp,a,s}^2}\right)$$

$$F_{a,z}(v_z) = \frac{1}{\pi^{1/2} u_{\parallel,a,s}} \exp\left(-\frac{(v_z - V_{a,s})^2}{u_{\parallel,a,s}^2}\right)$$



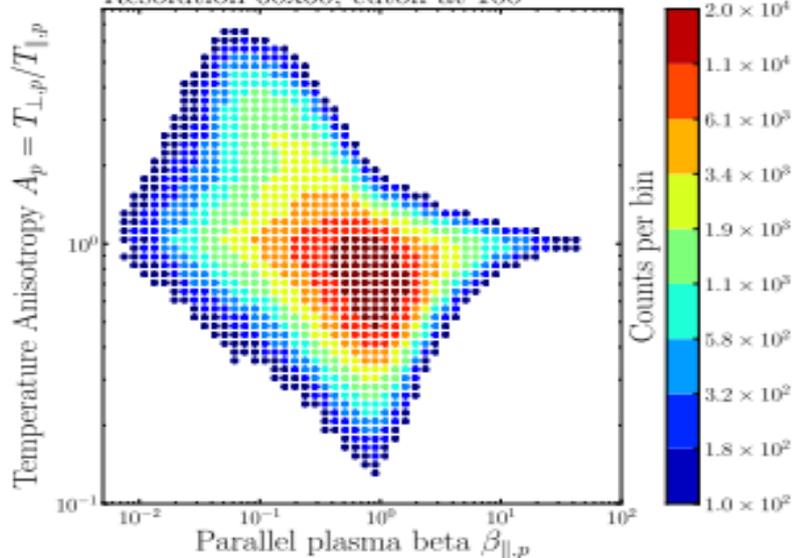
Firehose and mirror instabilities



$B = \text{const}$ and $T_{\parallel} = \text{const}$

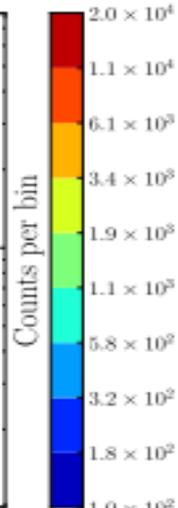
$$P = P_1 = \frac{P_{0,1}}{\beta_{\parallel}}$$

Resolution 60x60, cutoff at 100

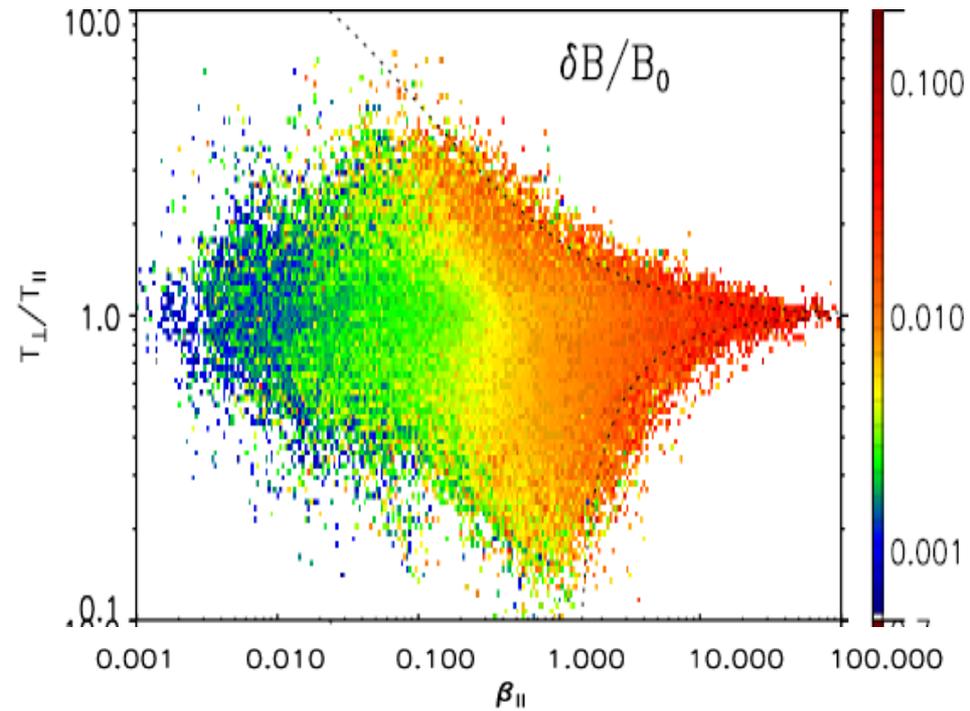
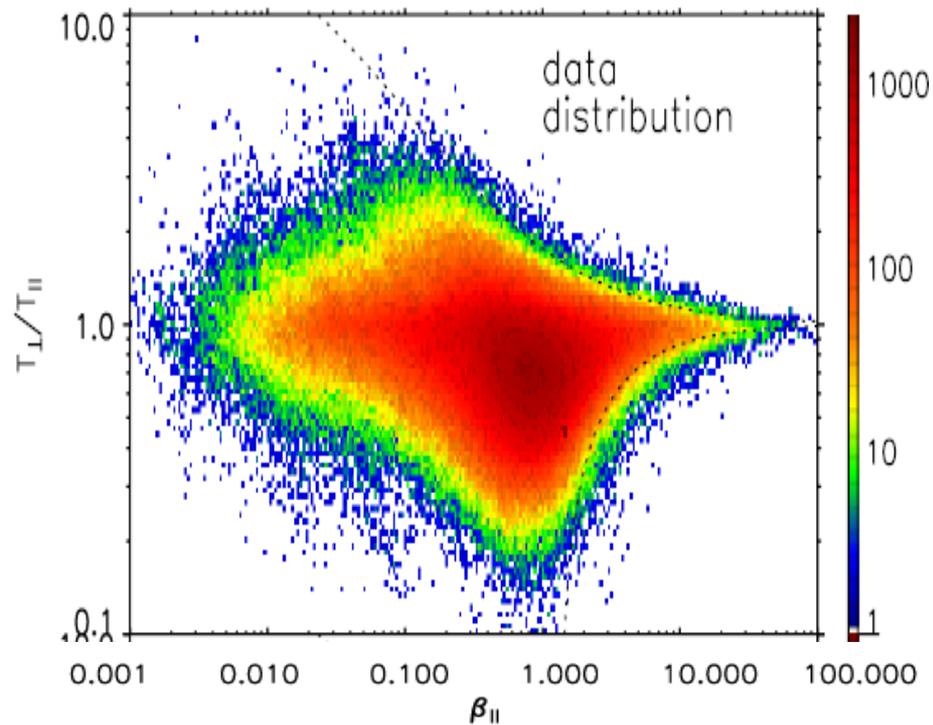


$n = \text{const}$ and $T_{\parallel} = \text{const}$

$$P = P_2 = P_{0,2}\beta_{\parallel}$$

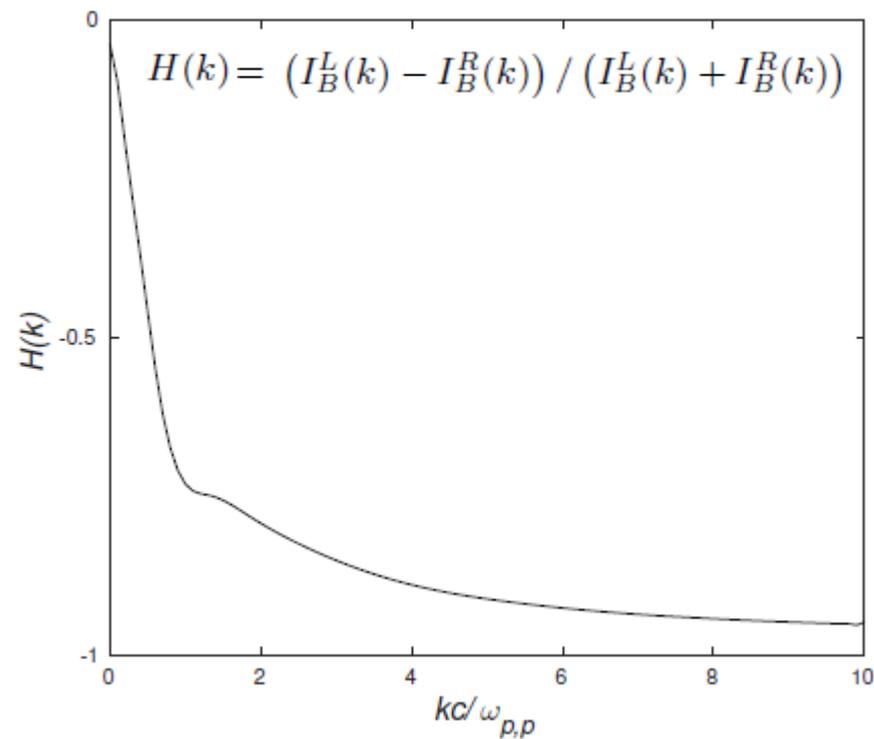
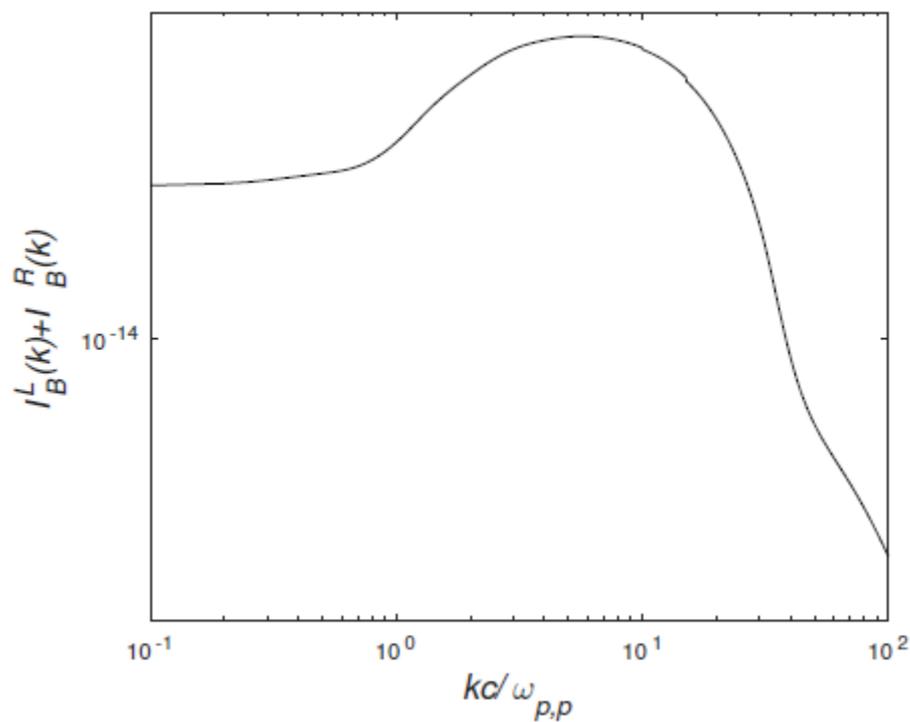


Fluctuations in the solar wind at 1 AU



S. D. Bale, J. C. Kasper, G. G. Howes, and et. al. *Phys. Rev. Letters*, 103:211101, 2009.

Total magnetic field fluctuation spectrum and helicity for parallel wave vectors in a magnetized Maxwellian plasma



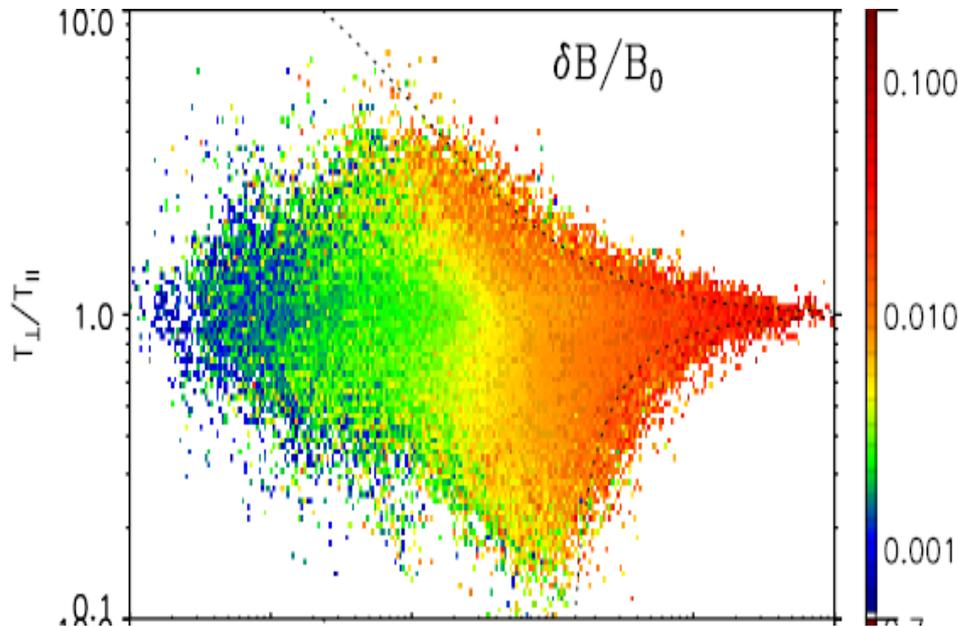
Total intensity of magnetic field fluctuations

	$T=5.4 \times 10^2$ K	$T=5.4 \times 10^3$ K
k_{min}	$10^{-2} k_i$	$10^{-2} k_i$
k_{max}	$10^2 k_i$	$10^2 k_i$
w_E^R , erg/cm ³	2×10^{-37}	1.8×10^{-37}
w_B^R , erg/cm ³	9.1×10^{-32}	8.8×10^{-32}

	$T=5.4 \times 10^2$ K	$T=5.4 \times 10^3$ K
$\Delta B_L/B_0$	6.8×10^{-13}	8×10^{-13}
$\Delta B_R/B_0$	6.9×10^{-12}	6.8×10^{-12}

$$B_0 = 4.3 \times 10^{-5} G$$

$$k_i = \omega_{p,p}/c = 1.38 \times 10^{-7} \text{ cm}^{-1}$$



S. D. Bale, J. C. Kasper, G. G. Howes, and et. al. *Phys. Rev. Letters*, 103:211101, 2009.

Vafin, S., Schlickeiser, R., & Yoon, P. H. 2016, *Phys. Plasmas*, 23, 052106

Amplification of fluctuations by plasma instabilities

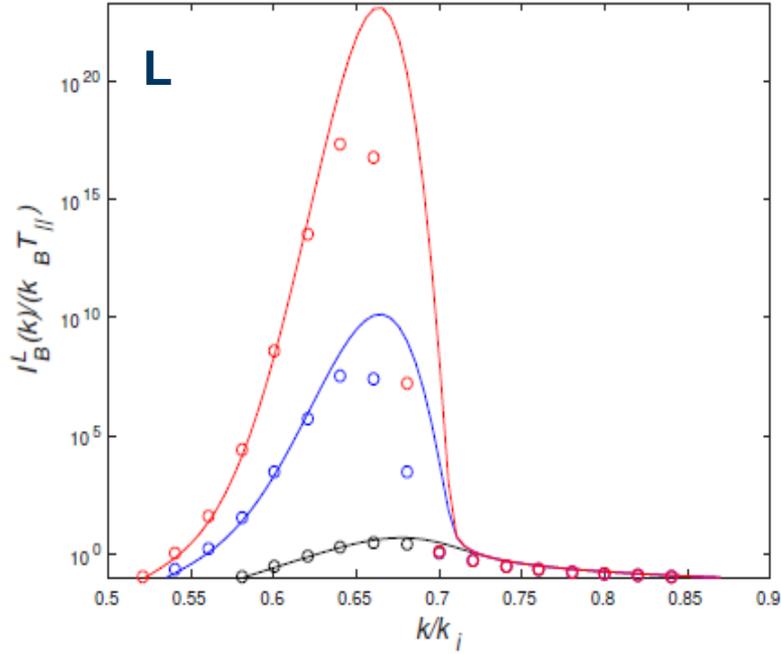


Figure 6. Same as Fig. 5, but $A = 2$. Black: $t = 1.6 \times 10^4$ s, $\Delta(10^{-3}k_i, 1.5k_i) \simeq 1.7 \times 10^{-12}$. Blue: $t = 1.6 \times 10^5$ s, $\Delta(10^{-3}k_i, 1.5k_i) \simeq 4.7 \times 10^{-8}$. Red: $t = 3.6 \times 10^5$ s, $\Delta(10^{-3}k_i, 1.5k_i) \simeq 0.1$.

$$I_B^{L,R}(k, t) = \frac{\alpha_{L,R}(k)}{2\gamma(k)} \left(e^{2\gamma(k)t} - 1 \right)$$

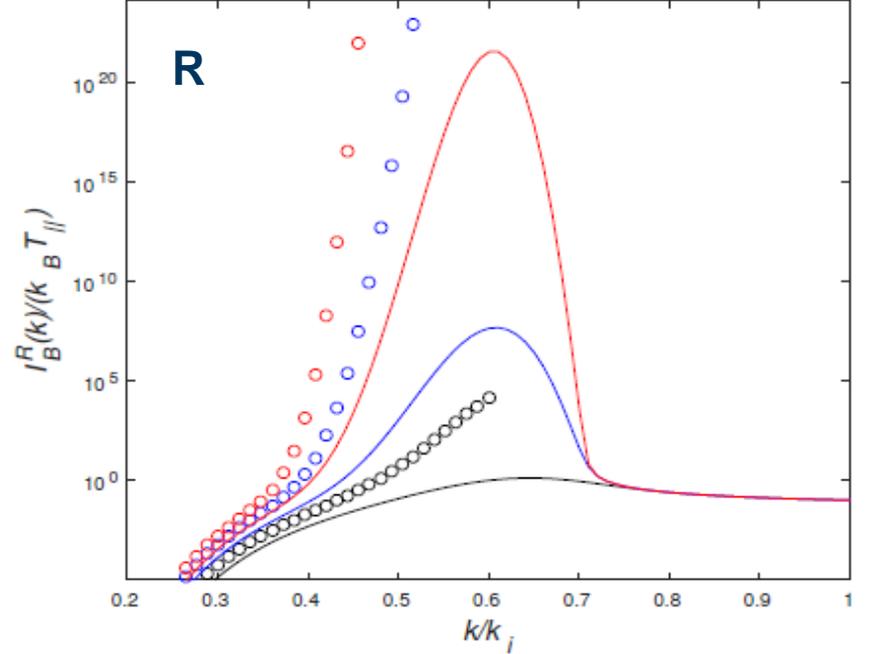


Figure 9. Same as Fig. 8, but $A = 0.5$. Black: $t = 4.3 \times 10^4$ s, $\Delta(10^{-3}k_i, 2k_i) \simeq 6.6 \times 10^{-12}$. Blue: $t = 4.3 \times 10^5$ s, $\Delta(10^{-3}k_i, 2k_i) \simeq 1.7 \times 10^{-8}$. Red: $t = 1.1 \times 10^6$ s, $\Delta(10^{-3}k_i, 2k_i) \simeq 0.1$.

Summary and conclusions

1. Instabilities:

1.1 Counterstreams have a dramatic effect on the instability conditions comparing to bi-Maxwellian plasmas without counterstreams.

1.2 The new results point out to a full potential explanation of the observed temperature anisotropy of the solar wind at 1 AU.

2. Fluctuations:

2.1 Electromagnetic fluctuations in an isotropic magnetized Maxwellian plasma for parallel wave vectors: the ratio $\delta B/B_0$ can be as high as 10^{-12} .

2.2 The fluctuations can be drastically amplified by plasma instabilities up to level $\delta B/B \sim 0.1$ on time scales less than the traveling time of the solar wind from the Sun to 1 AU.

Instability conditions

**Bi-Maxwellian plasma
without counterstreams**

$$A < \left[1 - \frac{1}{\sqrt{\beta_{\parallel}}} \right]^2$$

$$A < 1 - \frac{1}{\beta_{\parallel}}$$

$$A > \frac{1}{2} \left[1 + \left(1 + \frac{2}{\beta_{\parallel}} \right)^{1/2} \right]$$



$$F(A, \beta_{\parallel}) > 0$$

$$A = \frac{T_{\perp}}{T_{\parallel}}$$

$$\beta_{\parallel} = \frac{8\pi n T_{\parallel}}{B^2}$$

Instabilities:

- 1. Ordinary (O-) mode**
- 2. Alfvén, Firehose**
- 3. Mirror**

**Bi-Maxwellian plasma
with counterstreams**

$$F(A, \beta_{\parallel}, P) > 0$$

Counterstreaming parameter:

$$P = \sum_a \sum_s \epsilon_{a,s} \left(\frac{V_{a,s}}{V_{A,a}} \right)^2$$

Alfvén velocity:

$$V_{A,a} = B / (4\pi n_a m_a)^{1/2}$$

$$F(A, \beta_{\parallel}, P(A, \beta_{\parallel})) > 0$$

Fluctuation theory

Kinetic equation for electric field fluctuations:

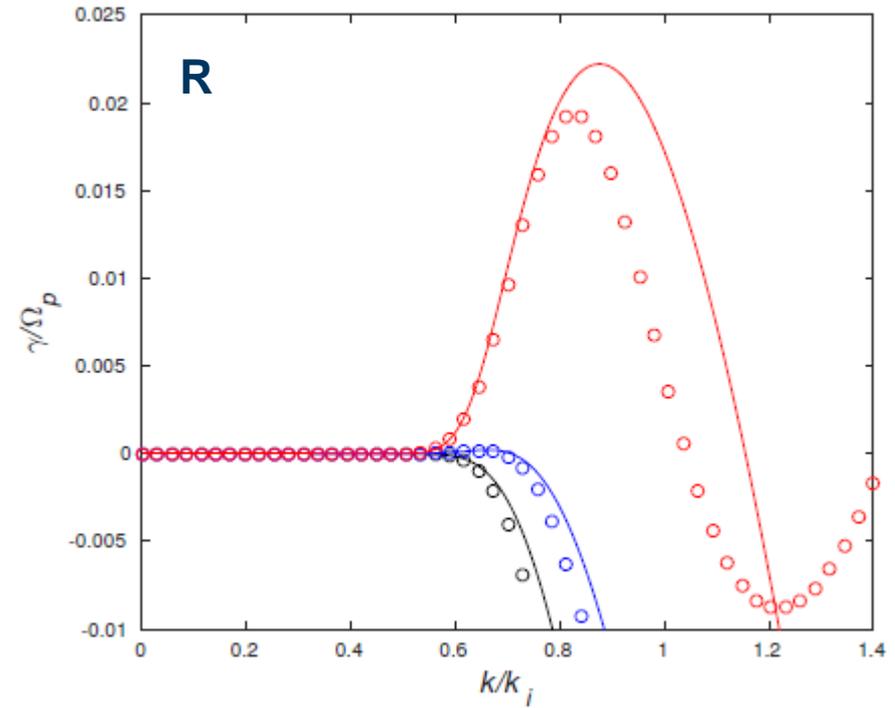
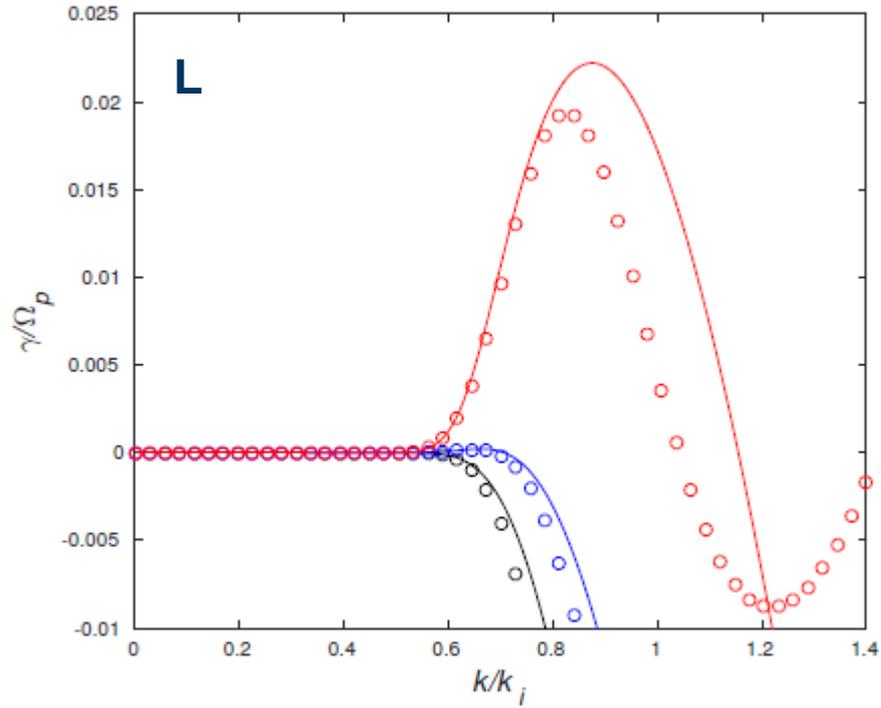
$$\frac{\partial S_{ij}^s(\vec{k})}{\partial t} = \alpha_{ij}^s(\vec{k}) - \mu_{ij}^s(\vec{k}) S_{ij}^s(\vec{k})$$

$$\alpha_{ij}^s(\vec{k}) = \frac{4\pi K_{ij}[\vec{k}, \varpi_s(\vec{k})]}{\left| \frac{\partial \Lambda[\vec{k}, \varpi_s(\vec{k})]}{\partial \varpi_s(\vec{k})} \right|^2}, \quad \mu_{ij}^s(\vec{k}) = -2\Gamma_s(\vec{k}).$$

Magnetic field fluctuations:

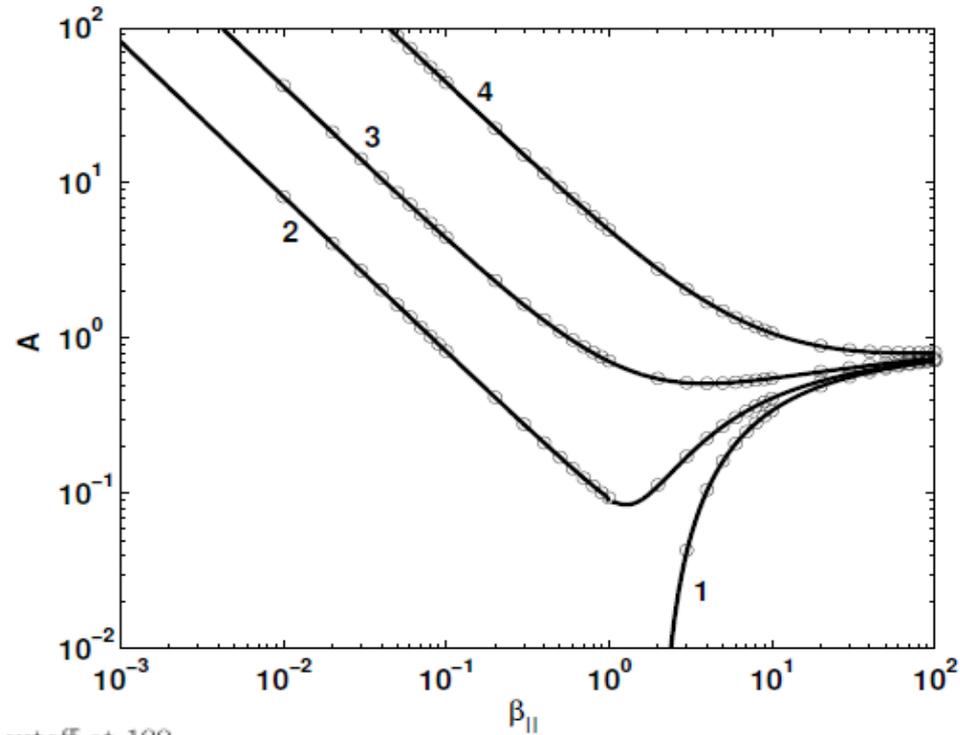
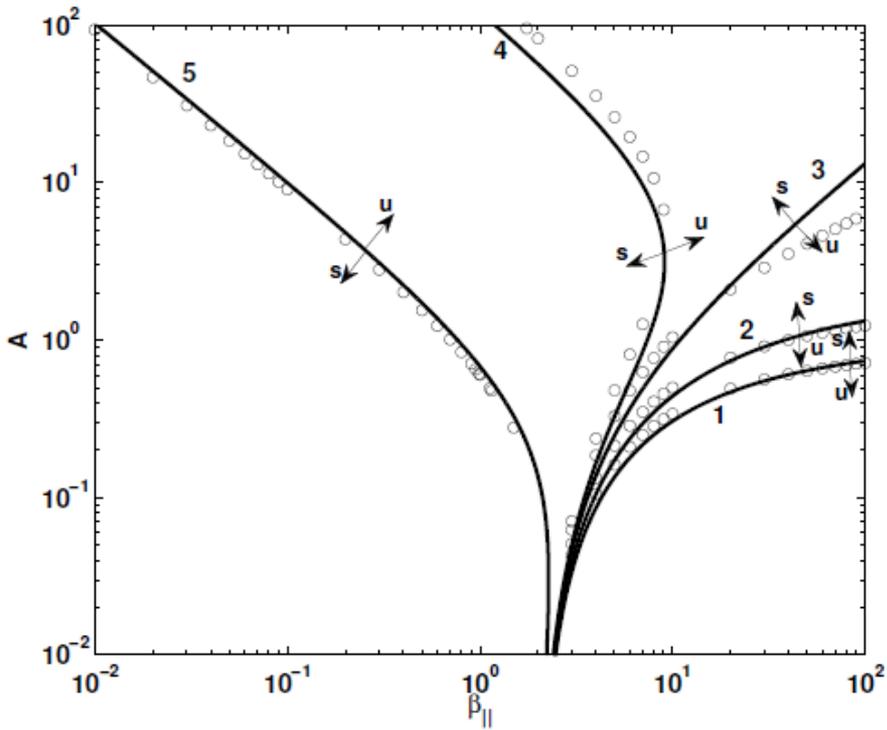
$$S_{ij}^{Bs}(\vec{k}) = \langle \delta B_i^s(\vec{k}) \delta B_j^{s*}(\vec{k}) \rangle = \frac{c^2}{|\omega|^2} \epsilon_{iab} \epsilon_{jcd} k_a k_c S_{bd}^s(\vec{k})$$

Amplification of fluctuations by plasma instabilities



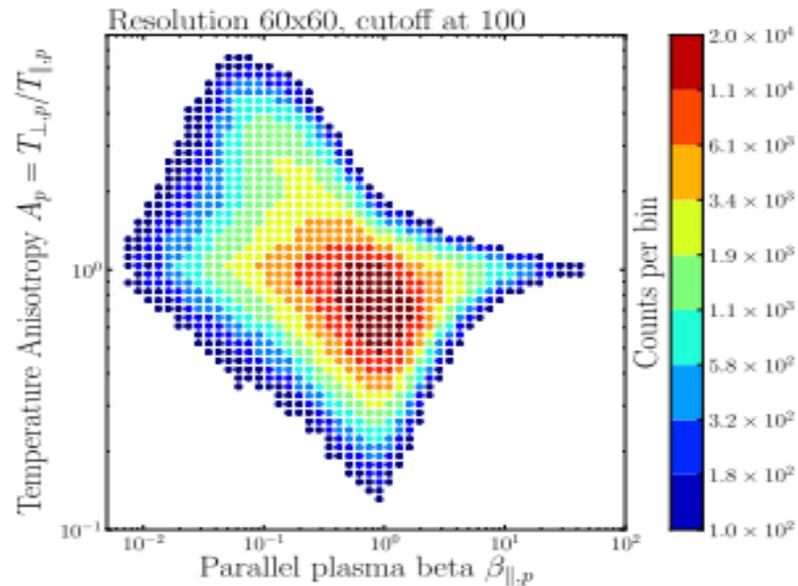
$$I_B^{L,R}(k, t) = \frac{\alpha_{L,R}(k)}{2\gamma(k)} \left(e^{2\gamma(k)t} - 1 \right)$$

Ordinary (O-) mode instability



$$R_{\perp} = \frac{V_{n1}^2}{u_{\perp n}^2} = \text{const.}$$

$$P(A, \beta_{\parallel}) = P_0 R_{\perp} A \beta_{\parallel}$$

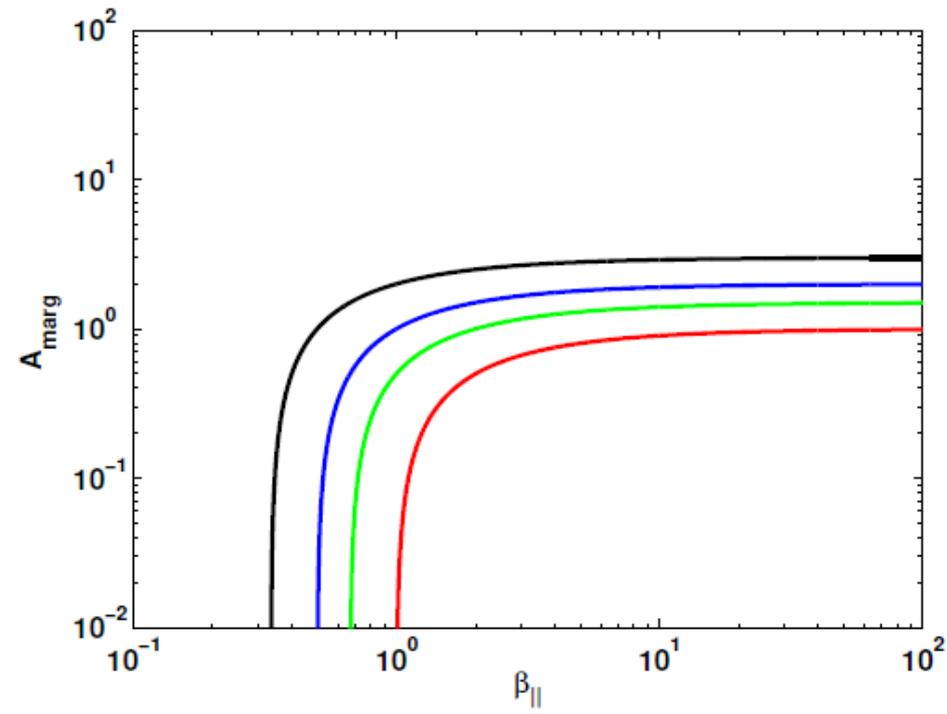
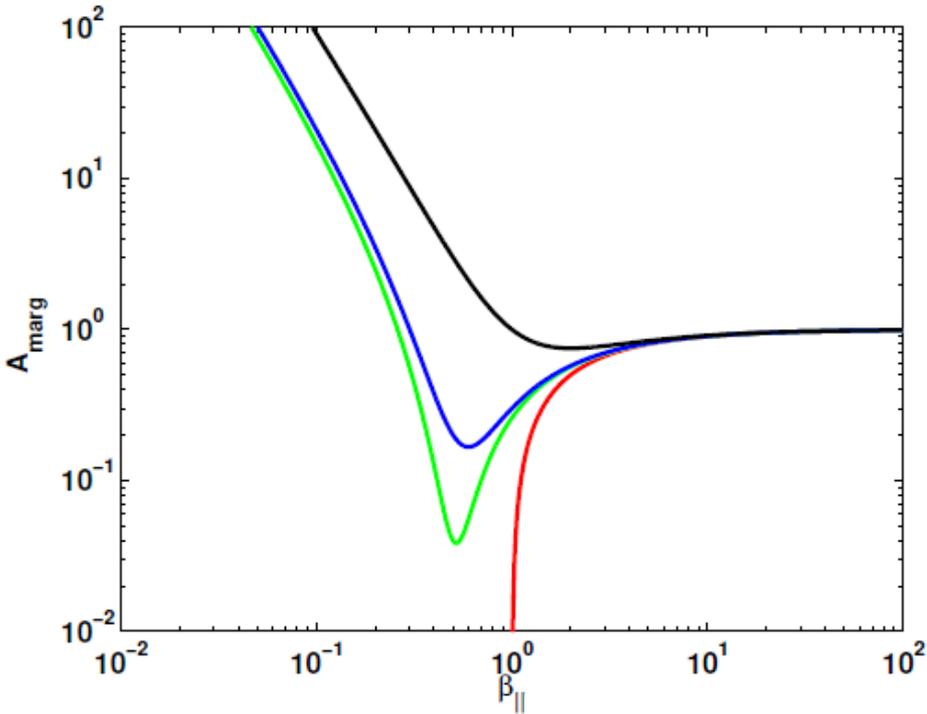


$P = \text{const}$

Phys. Plasmas, 22:022129, 2015.

Phys. Plasmas, 21:072119, 2014.

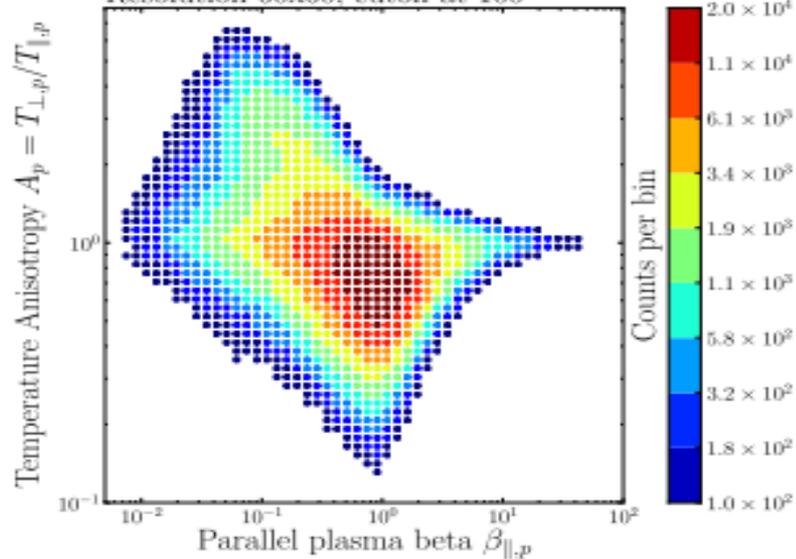
Alfvén instability



$B = \text{const}$ and $T_{\parallel} = \text{const}$

$$P = P_1 = \frac{P_{0,1}}{\beta_{\parallel}}$$

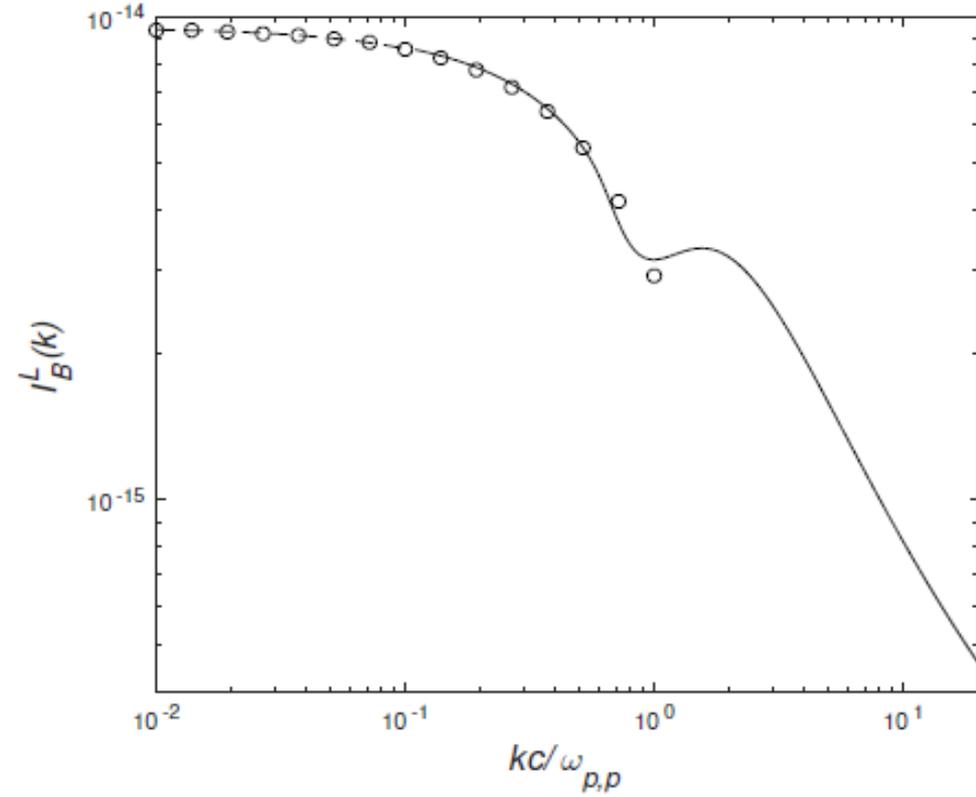
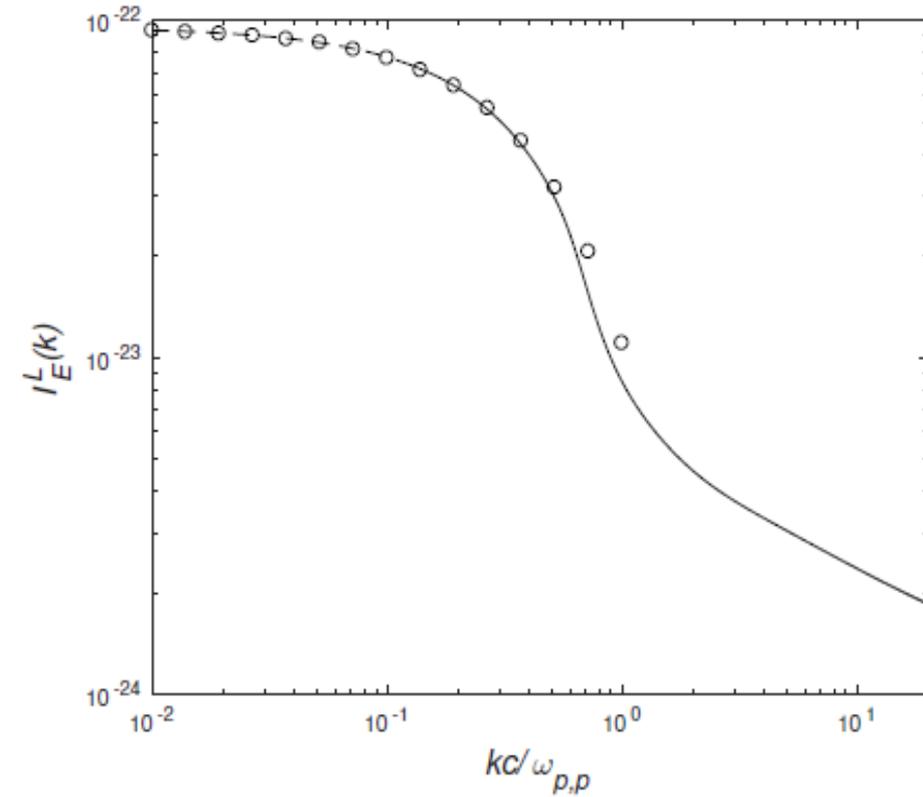
Resolution 60x60, cutoff at 100



$n = \text{const}$ and $T_{\parallel} = \text{const}$

$$P = P_2 = P_{0,2}\beta_{\parallel}$$

Fluctuation spectrum of the Left-handed polarized waves

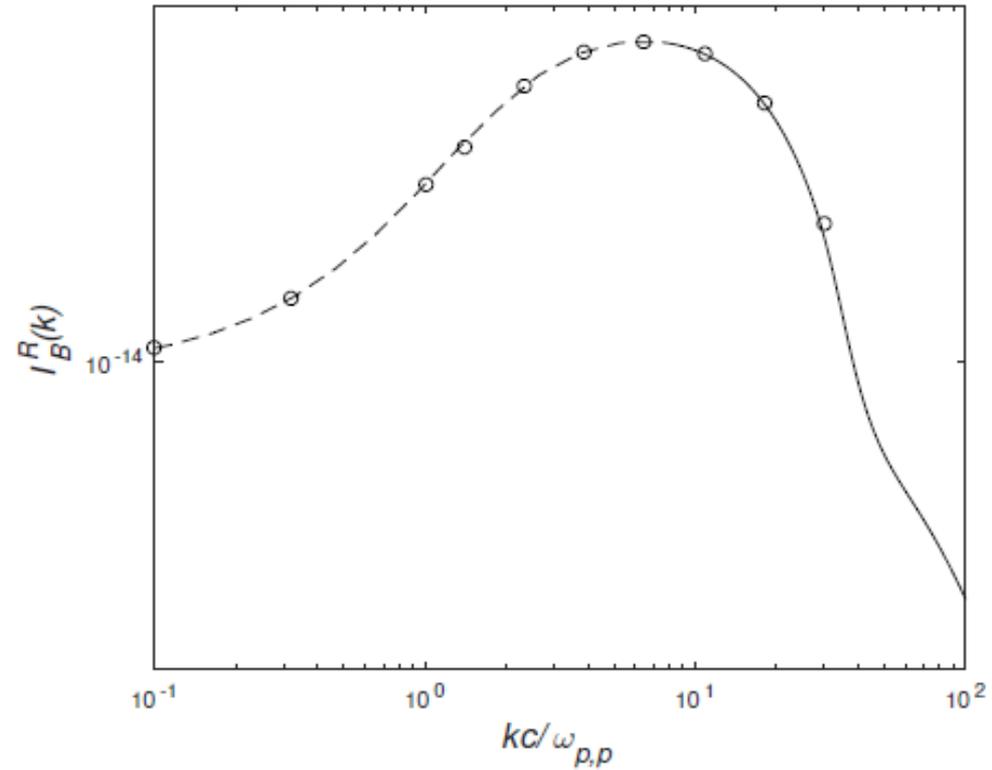
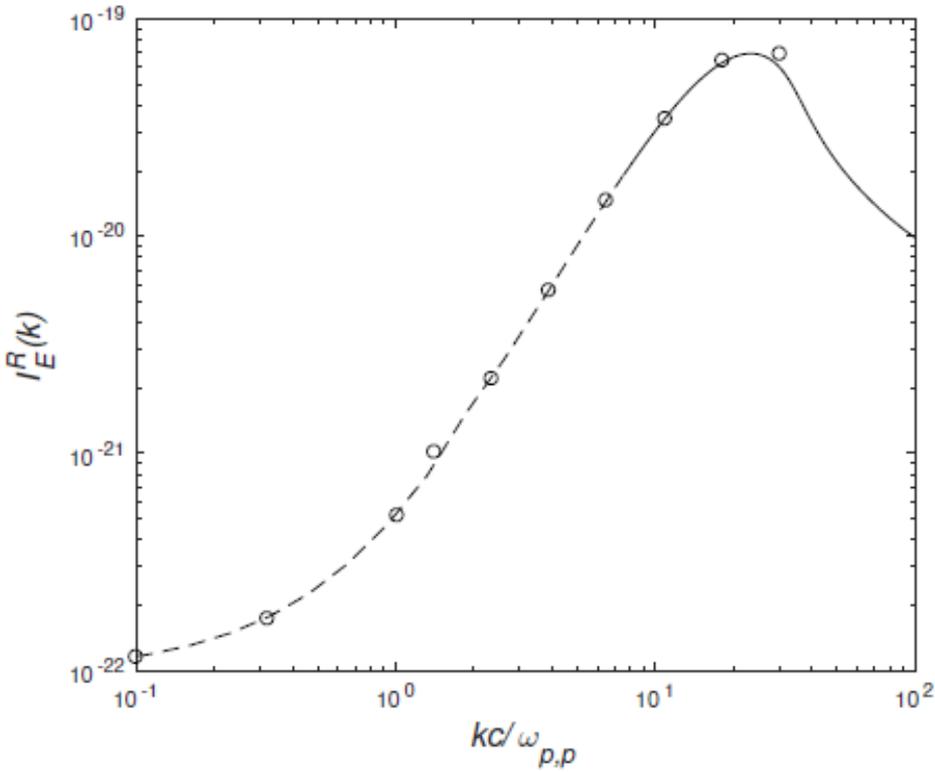


$$\beta = 0.1$$

$$V_A/c = 10^{-4}$$

$$k_i = \omega_{p,p}/c = 1.38 \times 10^{-7} \text{ cm}^{-1}$$

Fluctuation spectrum of the Right-handed polarized waves



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